# Master Sciences Informatiques Solvers Principles and Architectures (SPA) Final Exam, Fall 2017

Khalil Ghorbal

## 1 SAT/SMT Solvers

### A. CNF vs DNF

We have seen that converting any boolean (well formed) formula to an equivalent conjunctive normal form (CNF) increases linearly the number of logical connectives using Tseytin transformations.

- 1. Is this possible for disjunctive normal forms (DNF)?
- 2. Explain the reason for this asymmetry?

### B. Resolution Rule

The resolution rule allows to eliminate via equivalent satisfiability a variable that appears both positively and negatively in different clauses. Assuming infinite memory, if one is to apply the original Davis/Putnam (DP) method to a given CNF formula till saturation (that is till reaching a fixed point).

- 1. What are the possible results of the algorithm and why?
- 2. If the formula is UNSAT, how can we extract a certificate of unsatisfiability?
- 3. How does such certificate relate to Craig interpolants?

### C. CDCL

Conflict-Driven Clause Learning (CDCL) smartly reuses the resolution rule to prune the search tree built as a result of the original splitting rule suggested by DLL in 1962. Consider the following CNF formula

$$\phi = c_1 \wedge c_2 \wedge c_3 \wedge c_4 \wedge c_5 \wedge c_6$$
  
=  $(x_5 \vee x_6) \wedge (x_1 \vee x_8 \vee \neg x_2) \wedge (x_1 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_4 \vee \neg x_5) \wedge (x_9 \vee \neg x_4 \vee \neg x_6)$ 

Assume the following decision assignments have been already made  $x_9 = 0@2$  and  $x_8 = 0@3$  and that the current decision assignment is  $x_1 = 0@5$  (where the notation x = b@n means that the variable x is assigned the value b at depth n).

- 1. Build the resulting implication graph.
- 2. Suggest a clause to learn from the observed conflict.
- 3. Prove that augmenting  $\phi$  with such a formula is SAT equivalent to the original problem  $\phi$ .
- 4. Based on the learned clause, at what depth should you backtrack?

### D. SAT Reduction

The multiprocessing scheduling problem asks the following question. Given a finite set A of tasks, a measure (or time length)  $\ell(a)$  for each task  $a \in A$ , a number m of processors and a deadline D, is there a partition  $A = A_1 \cup A_2 \cup \cdots \cup A_m$  of A into m disjoint sets such that

$$\max_{1 \le i \le m} \left\{ \sum_{a \in A_i} \ell(a) \right\} \le D \quad ?$$

Prove that the multiprocessing scheduling problem is NP-complete.

# 2 Convex Optimization

### A. Linear Programming

The diet problem can be stated as follows: choose quantities  $x_1, \ldots, x_n$  of n foods to find the cheapest healthy diet such that (*i*) one unit of food j costs  $c_j$  and contains amount  $a_{ij}$  of nutrient i, and (*ii*) a healthy diet requires nutrient i in quantity at least  $b_i$ .

- 1. Formulate the problem as a Linear Program (LP).
- 2. What is the interpretation (meaning) of the dual variables in this case? (write down the dual problem and comment.).

### B. Simplex vs interior point methods

We want to solve the following problem, where f is twice continuously differentiable and assuming the primal objective value is finite and attained:

$$\begin{array}{ll} \min & f(x) \\ \text{s.t.} & Ax = b, \quad (\operatorname{rank} A = p) \end{array}$$

- 1. Using the KKT conditions, deduce the optimality conditions on  $x^*$  and  $\nu^*$  (the Lagrange multiplier vector).
- 2. Suppose  $\hat{x}$  is a solution for Ax = b, eliminate the equality constraint from the above problem.
- 3. Let  $z^*$  denote the optimal vector of this new unconstrained problem, deduce how  $x^*$  and  $\nu^*$  from  $z^*$ .
- 4. Suppose f is linear, explain briefly the descent method used by the simplex algorithm with respect to the unconstrained problem and contrast it with the descent methods used in the interior point methods.

#### C. Duality for non convex problems

The two-way partitioning problem is stated as follows ( $x \cdot y$  denotes the usual scalar product, W is a square matrix): min  $x \cdot Wx$ 

min 
$$x \cdot W x$$
  
s.t.  $x_i^2 = 1, \quad i = 1, ..., n$ 

- 1. Is this a convex problem (explain)?
- 2. Compute its Lagrangian and state its dual problem while classifying it (QP, LP, SDP, etc.).
- 3. Deduce a lower bound for the primal optimal value  $p^*$ .

### D. "Visualizing" symmetric positive semidefinite matrices

Recall that a symmetric matrix S is positive semidefinite if for all vectors z, the scalar product of z and Sz is nonnegative.

- 1. Define the set on which vary the components of the matrix S as a quantifier elimination problem.
- 2. Solve the problem for n = 1, n being the dimension of z. (the case n = 2 is depicted below)

